



AML 2019 AGENDA

- Sesiones Generales
- Sesiones Simultáneas
- Receso

PROGRAMA DE PRE-CONFERENCIA LUNES / MARZO-11

Incluido con su inscripción

7:00AM - 6:00PM ● **MEZZANINE**

INSCRIPCIÓN

8:15AM - 11:45AM ● **BISCAYNE**

LA REVOLUCIÓN REGTECH: MODERNIZANDO LA LUCHA CONTRA EL DELITO FINANCIERO

1:00PM - 6:00PM ● **BISCAYNE**

DIÁLOGO SECTOR PÚBLICO/PRIVADO ESTADOS UNIDOS - AMÉRICA LATINA (PSD): LA TECNOLOGÍA COMO UNA SOLUCIÓN AL DE-RISKING

DÍA 1 MARTES / MARZO-12

6:30AM - 6:30PM ● **MEZZANINE**

INSCRIPCIÓN

7:00AM - 8:00AM ● **AREA DE EXPOSICIÓN**

DESAYUNO CONTINENTAL

8:00AM - 8:15AM ● **GRAND BALLROOM**

PALABRAS DE BIENVENIDA Y APERTURA

- **David Schwartz** President & CEO **FIBA**
- **David Hernandez** FIBA Chair & Chief Risk Officer **BAC Florida Bank**

8:15AM - 9:30AM ● **GRAND BALLROOM**

¿QUÉ HAY DE NUEVO EN EL MUNDO DE LA PREVENCIÓN DEL LAVADO DE DINERO? ÚLTIMAS CIFRAS Y TENDENCIAS EN LA LUCHA CONTRA EL DELITO FINANCIERO

- **Alcides I. Avila** Founding Partner, Corporate Financial Services **ARHMF** (Moderator)
- **Walter J. Mix III** Managing Director and Financial Services Practice Group Leader **Berkeley Research Group**, Chairman **FIBA**
- **Jennifer Shasky Calvery** Global Head of Financial Crime Threat Mitigation **HSBC**
- **Koko Ives** Manager, BSA/AML Compliance Section, Division of Banking Supervision & Regulation **Board of the Governors of the Federal Reserve System**

9:40AM - 10:55AM

GRAND BALLROOM

HACIENDO NEGOCIOS EN VENEZUELA : NAVEGANDO CON SEGURIDAD A TRAVÉS DE SANCIONES E INCERTIDUMBRES

- **Daniel Cutierrez** Vice President & Regulatory Risk Manager **Ocean Bank** (Moderator)
- **Eugenio Hernandez-Breton** Partner **Baker & McKenzie Venezuela**
- **Andres Fernandez** Partner **Holland & Knight**
- **John Tobon** Deputy Special Agent in Charge **Homeland Security Investigations**

10:55AM - 11:25AM

AREA DE EXPOSICIÓN

RECESO Y REFRIGERIO

11:25AM - 12:40PM

BISCAYNE

DETRÁS DE LOS TITULARES : LECCIONES APRENDIDAS DE ACCIONES RECIENTES DE ALTO PERFIL DE CUMPLIMIENTO

- **Wilfredo A. Ferrer** former United States Attorney for the Southern District of Florida and Chair of Global Compliance and Investigations Team **Holland & Knight**
- **Jared E. Dwyer** former Assistant United States Attorney for the Southern District of Florida and Shareholder **Greenberg Traurig**
- **Daniel P. Stipano** former Deputy Chief Counsel and Director of the Enforcement & Compliance Division at the Office of the Comptroller of the Currency (OCC) and Partner **Buckley Sandler LLP**

11:25AM - 12:40PM

CHOPIN

AML PARA CORREDORES DE BOLSA: CUMPLIMIENTO EFECTIVO EN LA INDUSTRIA DE VALORES

- **Sergio Alvarez-Mena** Partner **Jones Day** (Moderator)
- **Lourdes Gonzalez** Assistant Chief Counsel, Division of Market Regulation **US Securities & Exchange Commission (SEC)**
- **Blake Snyder** Director, Anti-Money Laundering Investigative Unit **Financial Industry Regulatory Authority (FINRA)**
- **Danielle Tarasen** Senior Vice President, Head of Cross Border Strategy and Oversight **Raymond James**

11:25AM - 12:40PM

BRICKELL

CAPACITACIÓN ESPECIALIZADA : IDEAS CLAVES PARA CONSTRUIR SOBRE LA CULTURA DE CUMPLIMIENTO (en Español)

- **Ana María de Alba** President & CEO **CSMB** (Moderator)
- **Dulce Jerez** Financial Institution Examiner **Office of the Comptroller of the Currency (OCC)**
- **Julio Carbonell** CEO & General Manager **FaceBank**

12:50PM - 1:50PM

● **AREA DE EXPOSICIÓN**

ALMUERZO

2:00PM - 3:15PM

● **BISCAYNE**

CUMPLIMIENTO CRYPTO : TENDENCIAS Y MEJORES PRÁCTICAS EN LA REGULACIÓN DE LAS MONEDAS VIRTUALES

2:00PM - 3:15PM

● **CHOPIN**

PREGUNTE A LOS EXAMINADORES

- **Craig Hirsch** Bank Examiner **Office Of The Comptroller Of The Currency (OCC), FDIC, Federal Reserve**
- **Daniel Haggerty** BSA/AML Risk Examiner **Federal Reserve Bank of Atlanta**
- **Blake Snyder** Director, Anti-Money Laundering Investigative Unit **FINRA**

2:00PM - 3:15PM

● **BRICKELL**

DE-RISKING POR SECTOR - EL DILEMA QUE LOS BANCOS TIENEN QUE CONTROLAR (en Español)

- **Maria de Lourdes (Marilu) Jimenez** Founder **Financial Intelligence Network & Compliance Advisors (FINCAdvisors)**

3:25PM - 4:15PM

● **GRAND BALLROOM**

CONVERSATORIO CON KENNETH A. BLANCO, DIRECTOR, FINANCIAL CRIMES ENFORCEMENT NETWORK (FINCEN)

- **David Schwartz** President & CEO **FIBA** (Moderator)
- **Kenneth A. Blanco** Director **FinCEN**

4:15PM - 4:50PM

● **AREA DE EXPOSICIÓN**

RECESO Y REFRIGERIO

5:00PM - 6:15PM

● **BISCAYNE**

LAVADO DE DINERO EN LOS BIENES RAÍCES : LOS RETOS PARA LAS INSTITUCIONES FINANCIERAS

- **John Tobon** Deputy Special Agent in Charge **Homeland Security Investigations**
- **Clark Abrams** Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit **Office of the Special Narcotics Prosecutor, City of New York**

5:00PM - 6:15PM

● **CHOPIN**

TALLER: CÓMO ESTABLECER UN PROGRAMA EFECTIVO DE AML PARA CASINOS

- **Benjamin J. Floyd** Senior Vice President, Corporate AML Officer **Caesars Entertainment Corporation**

5:00PM - 6:15PM

GRAND BALLROOM

TALLER: CÓMO CONSTRUIR UN EXITOSO PROGRAMA DE AML PARA LOS BANCOS CORRESPONSALES

- **Jose Balbuena** Chairman **Banco Azteca del Perú**
- **Janelle Bernard** Senior Manager – Overseas Operations **Republic Bank Limited**
- **Michelle Neufeld** EVP Head of Compliance & Operational Risk, Financial Institutions Group **Wells Fargo**
- **Dustin Palmer** Managing Director, Head of Financial Crimes Solutions Center **Promontory**

6:30PM - 8:30PM

AREA DE LA PISCINA

COCTEL DE BIENVENIDA

DÍA 2 MIÉRCOLES / MARZO-13

6:30PM - 6:30PM

MEZZANINE

INSCRIPCIÓN

7:30AM - 8:30AM

AREA DE EXPOSICIÓN

DESAYUNO CONTINENTAL

8:30AM - 9:45AM

GRAND BALLROOM

INTERCAMBIO GLOBAL SOBRE AML

- **Lester Joseph** Manager, Global Financial Crimes Intelligence Group **Wells Fargo** (Moderator)
- **Mariano Federici** President **Financial Intelligence Unit of Argentina**
- **Nick Maxwell** Head of the Future of Financial Intelligence Sharing (FFIS) Programme
- **Cecilia Hernqvist** Chief Compliance Officer **Swedbank**

9:45AM - 11:00AM

GRAND BALLROOM

PREGUNTE A LOS REGULADORES

- **Andres Fernandez** Partner, **Holland & Knight** (Moderator)
- **Koko Ives** Manager, BSA/AML Compliance Section, Division of Banking Supervision & Regulation **Board of the Governors of the Federal Reserve System**
- **Rachel Dondarski** Chief, Regulated Industries Oversight & Evaluation, Sanctions Compliance & Evaluation Division **Office of Foreign Assets Control (OFAC)**
- **Spencer Doak** Director for BSA/AML Compliance Policy **Office of the Controller of the Currency (OCC)**

11:00AM - 11:30AM

AREA DE EXPOSICIÓN

RECESO Y REFRIGERIO

11:30AM - 12:45PM

BISCAYNE

LA PROPIEDAD BENEFICIARIA, LA REGLA FINAL: UN AÑO DESPUÉS

- **Chip Poncy** President & Co-Founder, **The Financial Integrity Network (FIN)**
- **Sarah Runge** FCC Global Head of Regulatory Strategy, **Credit Suisse**

11:30AM - 12:45PM

CHOPIN

NO SOLO PARA I.T.: RESPONSABILIDADES EN SEGURIDAD CIBERNÉTICA PARA LOS RESPONSABLES DEL CUMPLIMIENTO

- **Ivan Garces** Chair, Risk Advisory Services Practice, **Kaufman Rossin** (Moderator)

11:30AM - 12:45PM

BRICKELL

OBLIGACIONES DEL SECTOR REAL EN LA ECONOMÍA - EJEMPLOS DE PANAMÁ, REPÚBLICA DOMINICANA Y COLOMBIA [En Español]

12:45PM - 1:45PM

GRAND BALLROOM

ALMUERZO

2:00PM - 3:15PM

BISCAYNE

MARIHUANA, INDUSTRIA Y CANNABIDIOL (CBD): EL ESTADO ESQUIZOFRÉNICO DE LA LEY

- **John W. Vardaman, III** Executive Vice President & General Counsel, **Hypur**
- **Andre G. Herrera** Executive Vice President of Banking & Compliance, **Hypur**

2:00PM - 3:15PM

CHOPIN

FINTECH - ¿AMIGO O ENEMIGO? COLABORACIÓN Y REGULACIÓN EN TECNOLOGÍAS EMERGENTES

- **Carl Fornaris** Shareholder **Greenberg Traurig** (Moderator)
- **John Ryan** President & CEO **Conference of State Bank Supervisors (CSBS)**
- **Andrew Barnard** Cofunder, **BitStop**
- **Laurel L. Rimon** Senior Counsel, **O'Melveny & Myers LLP**

2:00PM - 3:15PM

BRICKELL

VIDA DESPUES DE LAS EVALUACIONES DEL GAFI : MESA REDONDA SOBRE EL CARIBE

- **Wayne Shah** Senior Vice President Financial Institutions Group **Wells Fargo**

3:15PM - 3:30PM



AREA DE EXPOSICIÓN

RECESO Y REFRIGERIO

3:30PM - 4:45PM



GRAND BALLROOM

CAMBIO DE JUEGO: INTELIGENCIA ARTIFICIAL Y APRENDIZAJE AUTOMÁTICO EN LA GESTIÓN DEL CUMPLIMIENTO

4:45PM - 6:00PM



GRAND BALLROOM

LA EVOLUCIÓN DE LAS SANCIONES: DE LA CORRUPCIÓN A LOS DERECHOS HUMANOS

- **Andres Fernandez** Partner, **Holland & Knight** (Moderator)
- **Robert Targ** Founding Partner **Diaz, Reus & Targ**
- **Rachel Dondarski** Chief, Regulated Industries Oversight & Evaluation, Sanctions Compliance & Evaluation Division **Office of Foreign Assets Control (OFAC)**

6:00PM - 6:15PM



GRAND BALLROOM

CLAUSURA DE LA 19^{NA} CONFERENCIA ANUAL DE CUMPLIMIENTO AML DE FIBA Y RIFAS

- **David Schwartz** President & CEO **FIBA**