



# AML 2019 AGENDA

- General Sessions
- Breakouts
- Networking Breaks

## PRE-CONFERENCE PROGRAMS MONDAY / MARCH-11

Included with your  
conference pass

7:00AM - 6:00PM ● MEZZANINE

REGISTRATION

8:15AM - 11:45AM ● BISCAYNE

REGTECH REVOLUTION: MODERNIZING THE FIGHT  
AGAINST FINANCIAL CRIME

1:00PM - 6:00PM ● BISCAYNE

US & LATAM PUBLIC/PRIVATE SECTOR DIALOGUE:  
TECHNOLOGY AS A SOLUTION TO DE-RISKING

## DAY 1 TUESDAY / MARCH-12

6:30AM - 6:30PM ● MEZZANINE

REGISTRATION

7:00AM - 8:00AM ● EXHIBITION  
AREA

CONTINENTAL BREAKFAST

8:00AM - 8:15AM ● GRAND  
BALLROOM

WELCOME & OPENING REMARKS

- **David Schwartz** President & CEO **FIBA**
- **David Hernandez** FIBA Chair & Chief Risk Officer **BAC Florida Bank**

8:15AM - 9:30AM ● GRAND  
BALLROOM

WHAT'S NEW IN THE AML LANDSCAPE? LATEST ISSUES  
AND TRENDS IN THE FIGHT AGAINST FINANCIAL CRIME

- **Alcides I. Avila** Founding Partner, Corporate Financial Services **ARHMF** (Moderator)
- **Walter J. Mix III** Managing Director and Financial Services Practice Group Leader **Berkeley Research Group**; Chairman **FIBA**
- **Jennifer Shasky Calvery** Global Head of Financial Crime Threat Mitigation **HSBC**
- **Koko Ives** Manager, BSA/AML Compliance Section, Division of Banking Supervision & Regulation **Board of the Governors of the Federal Reserve System**

9:40AM - 10:55AM

**GRAND BALLROOM**

**DOING BUSINESS IN VENEZUELA: NAVIGATING SAFELY THROUGH SANCTIONS AND UNCERTAINTY**

- **Daniel Gutierrez** Vice President & Regulatory Risk Manager **Ocean Bank** (Moderator)
- **Eugenio Hernandez-Breton** Partner **Baker & McKenzie Venezuela**
- **Andres Fernandez** Partner **Holland & Knight**
- **John Tobon** Deputy Special Agent in Charge **Homeland Security Investigations**

10:55AM - 11:25AM

**EXHIBITION AREA**

**COFFEE BREAK**

11:25AM - 12:40PM

**BISCAYNE**

**BEHIND THE HEADLINES: LESSONS LEARNED FROM RECENT HIGH PROFILE ENFORCEMENT ACTIONS**

- **Wilfredo A. Ferrer** former United States Attorney for the Southern District of Florida and Chair of Global Compliance and Investigations Team **Holland & Knight**
- **Jared E. Dwyer** former Assistant United States Attorney for the Southern District of Florida and Shareholder **Greenberg Traurig**
- **Daniel P. Stipano** former Deputy Chief Counsel and Director of the Enforcement & Compliance Division at the Office of the Comptroller of the Currency (OCC) and Partner **Buckley Sandler LLP**

11:25AM - 12:40PM

**CHOPIN**

**AML FOR BROKER DEALERS: EFFECTIVE COMPLIANCE IN THE SECURITIES INDUSTRY**

- **Sergio Alvarez-Mena** Partner **Jones Day** (Moderator)
- **Lourdes Gonzalez** Assistant Chief Counsel, Division of Market Regulation **US Securities & Exchange Commission (SEC)**
- **Blake Snyder** Director, Anti-Money Laundering Investigative Unit **Financial Industry Regulatory Authority (FINRA)**
- **Danielle Tarasen** Senior Vice President, Head of Cross Border Strategy and Oversight **Raymond James**

11:25AM - 12:40PM

**BRICKELL**

**SPECIALIZED TRAINING: KEY IDEAS TO BUILD A COMPLIANCE CULTURE**

- **Ana Maria de Alba** President & CEO **CSMB** (Moderator)
- **Dulce Jerez** Financial Institution Examiner **Office of the Comptroller of the Currency (OCC)**
- **Julio Carbonell** CEO & General Manager **FaceBank**

12:50PM - 1:50PM

● **EXHIBITION AREA**

**LUNCHEON**

2:00PM - 3:15PM

● **BISCAYNE**

**CRYPTO COMPLIANCE: TRENDS AND BEST PRACTICES IN VIRTUAL CURRENCY REGULATION**

**ASK THE EXAMINERS**

- **Craig Hirsch** Bank Examiner **Office Of The Comptroller Of The Currency (OCC), FDIC, Federal Reserve**
- **Daniel Haggerty** BSA/AML Risk Examiner **Federal Reserve Bank of Atlanta**
- **Blake Snyder** Director, Anti-Money Laundering Investigative Unit **FINRA**

2:00PM - 3:15PM

● **CHOPIN**

**DE-RISKING BY SECTOR: THE ONGOING DILEMMA THAT BANKS NEED TO CONTROL** (in Spanish)

- **Maria de Lourdes (Marilu) Jimenez** Founder **Financial Intelligence Network & Compliance Advisors (FINCAdvisors)**

2:00PM - 3:15PM

● **BRICKELL**

**FIRESIDE CHAT WITH FINCEN DIRECTOR KENNETH A. BLANCO**

- **David Schwartz** President & CEO **FIBA** (Moderator)
- **Kenneth A. Blanco** Director **FinCEN**

3:25PM - 4:15PM

● **GRAND BALLROOM**

**COFFEE BREAK**

4:15PM - 4:50PM

● **EXHIBITION AREA**

**MONEY LAUNDERING IN REAL ESTATE: CHALLENGES FOR FINANCIAL INSTITUTIONS**

- **John Tobon** Deputy Special Agent in Charge **Homeland Security Investigations**
- **Clark Abrams** Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit **Office of the Special Narcotics Prosecutor, City of New York**

5:00PM - 6:15PM

● **BISCAYNE**

**WORKSHOP: HIGH STAKES: BUILDING AN EFFECTIVE AML PROGRAM FOR CASINOS**

- **Benjamin J. Floyd** Senior Vice President, Corporate AML Officer **Caesars Entertainment Corporation**

5:00PM - 6:15PM

● **CHOPIN**

5:00PM - 6:15PM

GRAND BALLROOM

### WORKSHOP: POSITIONING AN AML PROGRAM FOR SUCCESS WITH CORRESPONDENT BANKS

- **Jose Balbuena** Chairman **Banco Azteca del Perú**
- **Janelle Bernard** Senior Manager – Overseas Operations **Republic Bank Limited**
- **Michelle Neufeld** EVP Head of Compliance & Operational Risk, Financial Institutions Group **Wells Fargo**
- **Dustin Palmer** Managing Director, Head of Financial Crimes Solutions Center **Promontory**

6:30PM - 8:30PM

POOL AREA

### WELCOME RECEPTION

## DAY 2 WEDNESDAY / MARCH-13

6:30AM - 6:30PM

MEZZANINE

### REGISTRATION

7:30AM - 8:30AM

EXHIBITION AREA

### CONTINENTAL BREAKFAST

8:30AM - 9:45AM

GRAND BALLROOM

### AML GLOBAL EXCHANGE

- **Lester Joseph** Manager, Global Financial Crimes Intelligence Group **Wells Fargo** (Moderator)
- **Mariano Federici** President **Financial Intelligence Unit of Argentina**
- **Nick Maxwell** Head of the Future of Financial Intelligence Sharing (FFIS) Programme
- **Cecilia Hernqvist** Chief Compliance Officer **Swedbank**

9:45AM - 11:00AM

GRAND BALLROOM

### ASK THE U.S. REGULATORS AND POLICY-MAKERS

- **Andres Fernandez** Partner, **Holland & Knight** (Moderator)
- **Koko Ives** Manager, BSA/AML Compliance Section, Division of Banking Supervision & Regulation **Board of the Governors of the Federal Reserve System**
- **Rachel Dondarski** Chief, Regulated Industries Oversight & Evaluation, Sanctions Compliance & Evaluation Division **Office of Foreign Assets Control (OFAC)**
- **Spencer Doak** Director for BSA/AML Compliance Policy **Office of the Controller of the Currency (OCC)**

11:00AM - 11:30AM

EXHIBITION AREA

### COFFEE BREAK

11:30AM - 12:45PM

**BISCAYNE**

**BENEFICIAL OWNERSHIP: THE FINAL RULE, ONE YEAR LATER**

- **Chip Poncy** President & Co-Founder, **The Financial Integrity Network (FIN)**
- **Sarah Runge** FCC Global Head of Regulatory Strategy, **Credit Suisse**

11:30AM - 12:45PM

**CHOPIN**

**NOT JUST FOR I.T.: CYBERSECURITY RESPONSIBILITIES FOR COMPLIANCE OFFICERS**

- **Ivan Garces** Chair, Risk Advisory Services Practice, **Kaufman Rossin** (Moderator)

11:30AM - 12:45PM

**BRICKELL**

**OBLIGATIONS OF THE REAL SECTOR OF THE ECONOMY: EXAMPLES FROM PANAMA, THE DOMINICAN REPUBLIC AND COLOMBIA (in Spanish)**

12:45PM - 1:45PM

**GRAND BALLROOM**

**LUNCHEON**

2:00PM - 3:15PM

**BISCAYNE**

**MARIJUANA, INDUSTRIAL HEMP AND CANNABIDIOL (CBD) - THE SCHIZOPHRENIC STATE OF THE LAW**

- **John W. Vardaman, III** Executive Vice President & General Counsel, **Hypur**
- **Andre G. Herrera** Executive Vice President of Banking & Compliance, **Hypur**

2:00PM - 3:15PM

**CHOPIN**

**FINTECH: FRIEND OR FOE? COLLABORATION AND REGULATION IN EMERGING TECH**

- **Carl Fornaris** Shareholder **Greenberg Traurig** (Moderator)
- **John Ryan** President & CEO **Conference of State Bank Supervisors (CSBS)**
- **Andrew Barnard** Cofunder, **BitStop**
- **Laurel L. Rimon** Senior Counsel, **O'Melveny & Myers LLP**

2:00PM - 3:15PM

**BRICKELL**

**CARIBBEAN ROUNDTABLE**

- **Wayne Shah** Senior Vice President Financial Institutions Group **Wells Fargo**

3:15PM - 3:30PM	● <b>EXHIBITION AREA</b>	<b>COFFEE BREAK</b>
3:30PM - 4:45PM	● <b>GRAND BALLROOM</b>	<b>GAME-CHANGERS: ARTIFICIAL INTELLIGENCE &amp; MACHINE LEARNING IN COMPLIANCE MANAGEMENT</b>
4:45PM - 6:00PM	● <b>GRAND BALLROOM</b>	<b>THE EVOLUTION OF SANCTIONS: FROM CORRUPTION TO HUMAN RIGHTS</b> <ul style="list-style-type: none"> <li>• <b>Andres Fernandez</b> Partner, <b>Holland &amp; Knight</b> (Moderator)</li> <li>• <b>Robert Targ</b> Founding Partner <b>Diaz, Reus &amp; Targ</b></li> <li>• <b>Rachel Dondarski</b> Chief, Regulated Industries Oversight &amp; Evaluation, Sanctions Compliance &amp; Evaluation Division <b>Office of Foreign Assets Control (OFAC)</b></li> </ul>
6:00PM - 6:15PM	● <b>GRAND BALLROOM</b>	<b>CLOSING REMARKS &amp; RAFFLE PRIZES</b> <ul style="list-style-type: none"> <li>• <b>David Schwartz</b> President &amp; CEO <b>FIBA</b></li> </ul>