



# AML 2019 AGENDA

- General Sessions
- Breakouts
- Networking Breaks

## PRE-CONFERENCE PROGRAMS MONDAY / MARCH-11

Included with your conference pass

7:00AM - 6:00PM ● **MEZZANINE**

**REGISTRATION**

8:15AM - 11:45AM ● **BISCAYNE**

**REGTECH REVOLUTION: MODERNIZING THE FIGHT AGAINST FINANCIAL CRIME**

1:00PM - 6:00PM ● **BISCAYNE**

**US & LATAM PUBLIC/PRIVATE SECTOR DIALOGUE: TECHNOLOGY AS A SOLUTION TO DE-RISKING**

## DAY 1 TUESDAY / MARCH-12

7:00AM - 6:00PM ● **MEZZANINE**

**REGISTRATION**

7:00AM - 8:00AM ● **EXHIBITION AREA**

**CONTINENTAL BREAKFAST**

8:00AM - 8:15AM ● **GRAND BALLROOM**

**WELCOME & OPENING REMARKS**

- **David Schwartz** President & CEO **FIBA**
- **David Hernandez** FIBA Chair & Chief Risk Officer **BAC Florida Bank**

8:15AM - 9:30AM ● **GRAND BALLROOM**

**WHAT'S NEW IN THE AML LANDSCAPE? LATEST ISSUES AND TRENDS IN THE FIGHT AGAINST FINANCIAL CRIME**

- **Alcides I. Avila** Founding Partner, Corporate Financial Services, **Avila, Rodriguez, Hernandez, Mena & Ferri (ARHMF)** (Moderator)
- **Andrea Sharrin** Associate Director, Regulatory Policy and Programs Division **FinCEN**
- **Walter J. Mix III** Managing Director & Financial Services Practice Group Leader / IBAC Chair **Berkeley Research Group, LLC / International Bankers Association of California**
- **Jennifer Shasky Calvery** Global Head of Financial Crime Threat Mitigation **HSBC**
- **Koko Ives** Manager, BSA/AML Compliance Section, Division of Banking Supervision & Regulation **Board of the Governors of the Federal Reserve System**

9:40AM - 10:55AM

**GRAND BALLROOM**

**DOING BUSINESS IN VENEZUELA: NAVIGATING SAFELY THROUGH SANCTIONS AND UNCERTAINTY**

- **Daniel Gutierrez** Vice President & Regulatory Risk Manager **Ocean Bank** (Moderator)
- **Eugenio Hernandez-Breton** Partner **Baker & McKenzie**
- **Andres Fernandez** Equity Partner, Financial Services Regulatory Team Co-leader, Cuba Action Team Leader **Holland & Knight LLP**
- **John Tobon** Deputy Special Agent in Charge **Homeland Security Investigations**

10:55AM - 11:25AM

**EXHIBITION AREA**

**COFFEE BREAK**

11:25AM - 12:40PM

**BISCAYNE**

**BEHIND THE HEADLINES: LESSONS LEARNED FROM RECENT HIGH PROFILE ENFORCEMENT ACTIONS**

- **William V. Roppolo** Partner **Baker & McKenzie LLP**
- **Wilfredo A. Ferrer** Partner **Holland & Knight LLP**
- **Jared E. Dwyer** Shareholder **Greenberg Traurig, P.A.**
- **Daniel P. Stipano** Partner **Buckley LLP**
- **Stefanie M. Wayco** Partner **DLA Piper**

11:25AM - 12:40PM

**CHOPIN**

**AML FOR BROKER DEALERS: EFFECTIVE COMPLIANCE IN THE SECURITIES INDUSTRY**

- **Sergio Alvarez-Mena** Partner **Jones Day** (Moderator)
- **Lourdes Gonzalez** Assistant Chief Counsel, Division of Market Regulation **US Securities & Exchange Commission (SEC)**
- **Blake Snyder** Senior Director, Anti-Money Laundering Investigative Unit **Financial Industry Regulatory Authority (FINRA)**
- **Danielle Tarasen** Senior Vice President, Head of Cross Border Strategy and Oversight **Raymond James Financial**
- **Gino F. Ercolino** Director, Wealth Management **Treliaant**
- **Lindsey Nelson** Associate, **Jones Day**

11:25AM - 12:40PM

**BRICKELL**

**SPECIALIZED TRAINING: KEY IDEAS TO BUILD A COMPLIANCE CULTURE**

- **Ana Maria de Alba** President & CEO **CSMB** (Moderator)
- **Dulce Jerez** Bank examiner, CAMS, AMLCA **Office of the Comptroller of the Currency (OCC)**
- **Julio Carbonell** CEO & General Manager **FaceBank**
- **Wendy G. Lora Perez** Directora General **Unidad de Análisis Financiero (UAF)**
- **Sandro Garcia Rojas Castillo** Vice President for Supervision of AML/CFT Measures **Mexican National Banking and Securities Commission**
- **Heidy Duarte** Director Assurance and Regulatory Risk Solutions Practice **MBAF**

12:50PM - 1:50PM

**EXHIBITION AREA**

**LUNCHEON**



2:00PM - 3:15PM

**BISCAYNE**

**CRYPTO COMPLIANCE: TRENDS AND BEST PRACTICES IN VIRTUAL CURRENCY REGULATION**

- **Daniel Stabile** Partner **Shutts & Bowen** (Moderator)
- **Carole House** Cybersecurity Policy Specialist, CISSP **FinCEN**
- **Faisal Khan** Banking & Payments Consultant, CEO **Faisal Khan & Co.**
- **Jeff Horowitz** Chief Compliance Officer **Coinbase**
- **Haimera Workie** Senior Director (Head of Office) **FINRA - Office of Emerging Regulatory Issues**

2:00PM - 3:15PM

**CHOPIN**

**ASK THE EXAMINERS**

- **Patricia Hernandez** Founding Partner, Corporate & Financial Services, **Avila, Rodriguez, Hernandez, Mena & Ferri (ARHMF)** (Moderator)
- **Craig Hirsch** Bank Examiner (BSA/AML Specialist) **Office Of The Comptroller Of The Currency (OCC)**
- **Yovanis Gonzalez** Senior Anti-Money Laundering Specialist **FDIC**
- **Daniel Haggerty** Partner BSA/AML Risk Examiner **Federal Reserve Bank of Atlanta**
- **Blake Snyder** Senior Director, Anti-Money Laundering Investigative Unit **Financial Industry Regulatory Authority (FINRA)**

2:00PM - 3:15PM

**BRICKELL**

**DE-RISKING BY SECTOR: THE ONGOING DILEMMA THAT BANKS NEED TO CONTROL** (in Spanish)

- **Maria de Lourdes Jimenez** Founder **Financial Intelligence Network & Compliance Advisors (FINCAdvisors)** (Moderator)
- **Juan Carlos Restrepo** Presidente **ASOJUEGOS**
- **Guillermo Horta Montes** Global AML/ATF Head - International Banking SVP **Scotiabank**
- **Marta Alfonso** CPA/ABV/CFF/CGMA, CIRA, JD Principat Forensic practice **MBAF**
- **Dario Herrera** Vicepresidente de Cumplimiento **Capital Bank, Inc. (Panama)**
- **Ana Raquel Velasco** Director **Superintendency of Banks of Panama**

3:25PM - 4:15PM

**GRAND BALLROOM**

**FIRESIDE CHAT WITH FINCEN DIRECTOR KENNETH A. BLANCO**

- **David Schwartz** President & CEO **FIBA** (Moderator)
- **Kenneth A. Blanco** Director **FinCEN**

4:15PM - 4:50PM

**EXHIBITION AREA**

**COFFEE BREAK**



5:00PM - 6:15PM

**BISCAYNE**

**MONEY LAUNDERING IN REAL ESTATE: CHALLENGES FOR FINANCIAL INSTITUTIONS**

- **John Tobon** Deputy Special Agent in Charge **Homeland Security Investigations**
- **Clark Abrams** Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit **Office of the Special Narcotics Prosecutor, City of New York**
- **Stanley Foodman** CEO **Foodman CPAs & Advisors**
- **Craig Stone** Managing Director **Alvarez & Marsal**

5:00PM - 6:15PM

**CHOPIN**

**FIGHTING HUMAN TRAFFICKING WITH FINANCIAL DATA**

- **Nicholas J. Schumann** US Head of Engagement, Financial Crime Threat Mitigation **HSBC**
- **Chris Armstrong** Human Trafficking Section Chief **Homeland Security Investigations (HSI)**
- **Val Farabee** Director, Operational Research & Analysis **Liberty Shared**
- **Anna Cunningham** VP, Emerging Risks and Typology Development **US Bank**

5:00PM - 6:15PM

**GRAND BALLROOM**

**WORKSHOP: POSITIONING AN AML PROGRAM FOR SUCCESS WITH CORRESPONDENT BANKS**

- **Dustin Palmer** Managing Director & Head of Financial Crimes Compliance Practice **Promontory Financial Group, LLC** (Moderator)
- **Jose Alberto Balbuena** Chairman **Banco Azteca del Perú**
- **Janelle Bernard** Senior Manager – Overseas Operations **Republic Bank Limited**
- **James Sayko** Head of Financial Crimes Controls, **Wells Fargo & Company**
- **Robert O' Callaghan** Director of Data Science **Ayasdi, Inc.**

6:30PM - 8:30PM

● **POOL AREA**

**WELCOME COCKTAIL RECEPTION**

**DAY 2** WEDNESDAY / MARCH-13

7:00AM - 3:00PM

● **MEZZANINE**

**REGISTRATION**

7:00AM - 8:30AM

● **EXHIBITION AREA**

**CONTINENTAL BREAKFAST**



8:30AM - 9:45AM

GRAND  
BALLROOM

### AML GLOBAL EXCHANGE

- **Lester Joseph** Manager, Global Financial Crimes Intelligence Group **Wells Fargo & Co** (Moderator)
- **Mariano Federici** President **Financial Intelligence Unit of Argentina**
- **Nick Maxwell** Head **Future of Financial Intelligence Sharing (FFIS) Programme**
- **Cecilia Hernqvist** Chief Compliance Officer **Swedbank**

9:45AM - 11:00AM

GRAND  
BALLROOM

### ASK THE U.S. REGULATORS AND POLICY-MAKERS

- **Andres Fernandez** Equity Partner, Financial Services Regulatory Team Co-leader, Cuba Action Team Leader, **Holland & Knight LLP** (Moderator)
- **Debra L. Novak** Chief, Anti-Money Laundering Section, Division of Risk Management Supervision **FDIC**
- **Andrea Sharrin** Associate Director, Regulatory Policy and Programs Division **FinCEN**
- **Koko Ives** Manager, BSA/AML Compliance Section, Division of Banking Supervision & Regulation **Board of the Governors of the Federal Reserve System**
- **Rachel Dondarski** Chief, Regulated Industries Oversight & Evaluation, Sanctions Compliance & Evaluation Division **Office of Foreign Assets Control (OFAC)**
- **Spencer Doak** Director for BSA/AML Compliance Policy **Office of the Controller of the Currency (OCC)**

11:00AM - 11:30AM

EXHIBITION  
AREA

### COFFEE BREAK

11:30AM - 12:45PM

BISCAYNE

### BENEFICIAL OWNERSHIP: THE FINAL RULE, ONE YEAR LATER

- **Chip Poncy** Co-Founder, **The Financial Integrity Network (FIN)**
- **Sarah Runge** Global Head FCC Regulatory Strategy, **Credit Suisse**
- **Uday Gulvadi** Director, **ACA Compliance**
- **Nicholas Piccininni** Deputy BSA Officer **Wells Fargo Financial Crimes Risks Management**

11:30AM - 12:45PM

CHOPIN

### NOT JUST FOR I.T.: CYBERSECURITY RESPONSIBILITIES FOR COMPLIANCE OFFICERS

- **Ivan Garces** Principal, Risk Advisory Services Practice Leader, **Kaufman Rossin** (Moderator)
- **Sergio Piñon** Senior Vice President & Director of Security, **Ocean Bank**
- **Carole House** Cybersecurity Policy Specialist, CISSP, **FinCEN**
- **Driss R. Tamsamani** Region Head of Digital Channels and Enterprise Banking **Citi**



11:30AM - 12:45PM

● **CHOPIN**

**THE FINANCIAL SECTOR AND THE FATF MUTUAL EVALUATIONS: EXAMPLES FROM PANAMA, THE DOMINICAN REPUBLIC AND COLOMBIA** (in Spanish)

- **Julio Aguirre** President (Panama), **CSMB**
- **Wendy G. Lora Perez** Directora General, **Unidad de Análisis Financiero (UAF)**
- **Jorge Castaño Gutierrez** Superintendente Financiero **Superintendencia Financiera de Colombia**

12:45PM - 1:45PM

● **GRAND BALLROOM**

**LUNCHEON**

**MARIJUANA, INDUSTRIAL HEMP AND CANNABIDIOL (CBD) - THE SCHIZOPHRENIC STATE OF THE LAW**

- **John W. Vardaman, III** Executive Vice President & General Counsel, **Hypur Inc.**
- **Andre G. Herrera** Executive Vice President, **Hypur Inc.**

2:00PM - 3:15PM

● **BISCAYNE**

**FINTECH: FRIEND OR FOE? COLLABORATION AND REGULATION IN EMERGING TECHNOLOGIES**

- **Carl Fornaris** Shareholder / Co-Chair, Financial Regulatory & Compliance Practice **Greenberg Traurig** (Moderator)
- **John Ryan** President & CEO **Conference of State Bank Supervisors**
- **Andrew Barnard** Co-Founder, Business Operations, **BitStop**
- **Laurel L. Rimon** Senior Counsel, **O'Melveny & Myers LLP**

2:00PM - 3:15PM

● **CHOPIN**

**CARIBBEAN ROUNDTABLE**

- **Wayne Shah** SVP Global Financial Institutions **Wells Fargo & Co**
- **Candice Huggins** Chief Compliance Officer **CIBC First Caribbean International Bank**
- **Sherrece L. Saunders** Deputy Manager **The Central Bank of The Bahamas**
- **Janelle Bernard** Senior Manager - Overseas Operations **Republic Bank Limited**

2:00PM - 3:15PM

● **BRICKELL**

3:15PM - 3:30PM

● **GRAND BALLROOM**

**COFFEE BREAK**



3:30PM - 4:45PM

**GRAND  
BALLROOM**

**GAME-CHANGERS: ARTIFICIAL INTELLIGENCE & MACHINE  
LEARNING IN COMPLIANCE MANAGEMENT**

- **Thomas Iverson** Director **Financial Integrity Network** (Moderator)
- **Michele Fernandez** SVP Head of Compliance & Regional Compliance Officer **BCI Miami**
- **David McLaughlin** Founder & CEO **QuantaVerse**
- **Kevin Bogdanov** Director of Market Development, Americas **Refinitiv**
- **Shirish Netke** Founder & CEO **Amberoon**

4:45PM - 6:00PM

**GRAND  
BALLROOM**

**THE EVOLUTION OF SANCTIONS: FROM CORRUPTION TO  
HUMAN RIGHTS**

- **Andres Fernandez** Equity Partner, Financial Services Regulatory Team Co-leader, Cuba Action Team Leader, **Holland & Knight LLP** (Moderator)
- **Robert Targ** Founding Partner **Diaz, Reus & Targ**
- **Rachel Dondarski** Chief, Regulated Industries Oversight & Evaluation, Sanctions Compliance & Evaluation Division **Office of Foreign Assets Control (OFAC)**
- **Clay Porter** Managing Director **Navigant**
- **Terence Gilroy** Partner **Baker & McKenzie, LLP**

6:00PM - 6:15PM

**GRAND  
BALLROOM**

**CLOSING REMARKS & RAFFLE PRIZES**

- **David Schwartz** President & CEO **FIBA**